

# The ADE affair

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University of St Andrews



University of Vienna  
March 2017

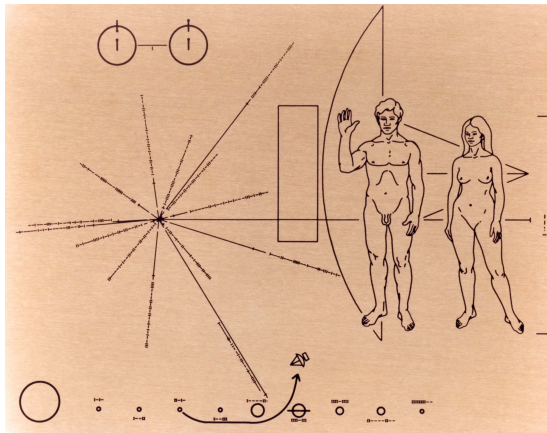
## Calling card

What should humanity send as a calling card for extraterrestrial civilisations?

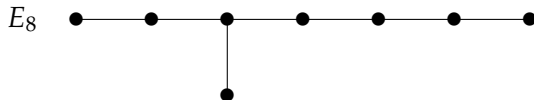
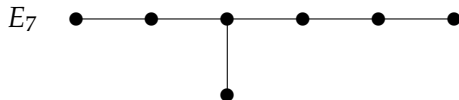
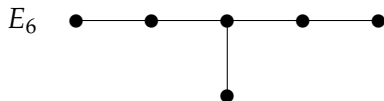
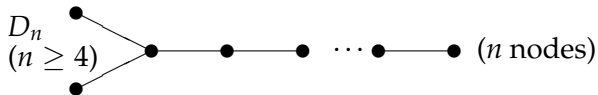
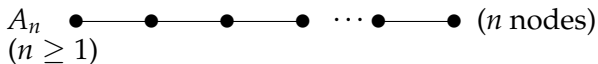
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What should humanity send as a calling card for extraterrestrial civilisations?

The Pioneer engineers decided that this would do:



Francis Buekenhout had another idea:



## A modern Hilbert problem?

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- ▶ ... the Coxeter groups generated by reflections, or of Weyl groups with roots of equal length.

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Buekenhout's view was that, even if an alien civilisation had very different mathematics to ours, chances are that they would have come up with at least some of the areas in which the ADE diagrams occur.

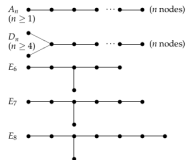
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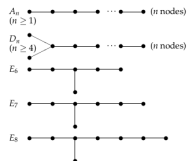
I will say a bit about all this.

# The extended diagrams



Closely related to the ADE diagrams are the so-called **extended diagrams**. In each case the extension adds one vertex, as follows:

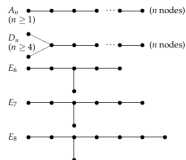
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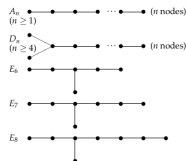
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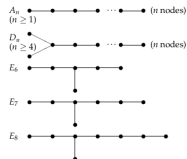
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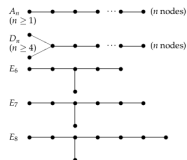
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- ▶ for  $D_n$ , it creates a fork at the other end of the diagram, or a  $K_{1,4}$  if  $n = 4$ ;
- ▶ for  $E_n$ , it extends one of the arms, so that the numbers of vertices on the arms are  $(3, 3, 3)$  ( $n = 6$ ),  $(2, 4, 4)$  ( $n = 7$ ), or  $(2, 3, 6)$  ( $n = 8$ ).

# What are these diagrams?



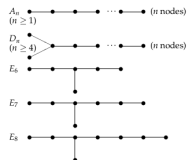
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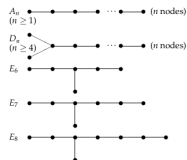


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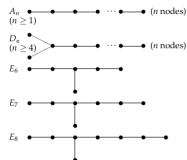


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- ▶ *The connected graphs with greatest eigenvalue equal to 2 are precisely the extended ADE diagrams.*

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## Theorem

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- ▶ *The connected graphs with greatest eigenvalue equal to 2 are precisely the extended ADE diagrams.*

Although this theorem was proved in 1969, it is in some sense implicit in the classification of simple Lie algebras over  $\mathbb{C}$ .

## Sketch proof

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It is easy to see that the extended ADE diagrams have greatest eigenvalue 2, as we will see shortly. So a connected graph whose greatest eigenvalue is less than 2 cannot contain any of these.

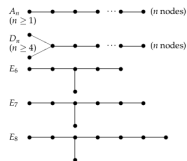
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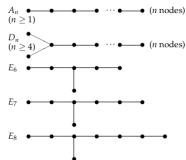
In particular, it does not contain  $\tilde{A}_n$  (a cycle), and so is a tree; it does not contain  $\tilde{D}_n$ , and so has at most one branchpoint, such a point having valency at most 3; and it does not contain  $\tilde{E}_n$ , and so the lengths of the three arms are restricted to the appropriate values.

# Polyhedra and tessellations



The numbers of vertices on the arms of the  $E_n$  diagrams are  $(2, 3, 3)$ ,  $(2, 3, 4)$  and  $(2, 3, 5)$  for  $n = 6, 7, 8$  respectively. This should remind you of the regular polyhedra in 3-space.

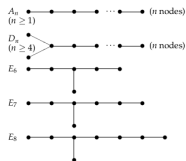
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The corresponding numbers for the extended diagrams are, as we saw,  $(3, 3, 3)$ ,  $(2, 4, 4)$  and  $(2, 3, 6)$  respectively, corresponding to the regular tessellations of the Euclidean plane by triangles, squares and hexagons.

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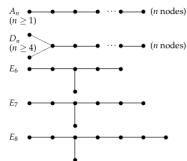


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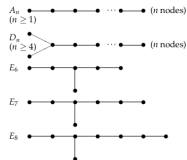
These are not accidental; we will return to them later.

## A detour



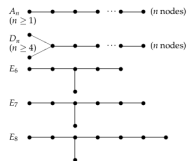
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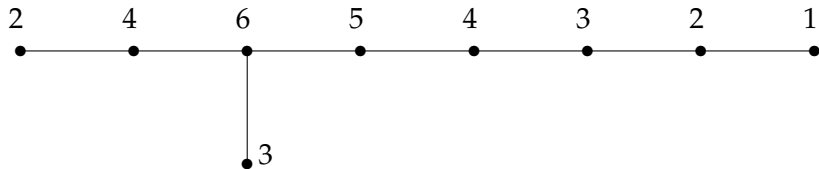
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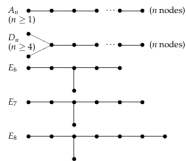


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## An eigenvector

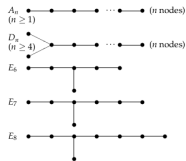


# Finite rotation groups



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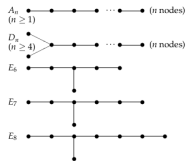
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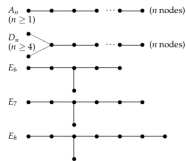
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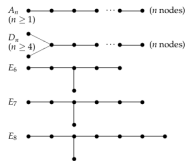
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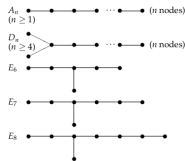
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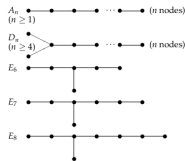
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The correspondence with the ADE diagrams is clear.

## Binary rotation groups

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The inverse image of each finite rotation group  $G \leq SO_3(\mathbb{R})$  is a **double cover**  $\tilde{G}$  of  $G$  in  $SU_2(\mathbb{C})$ , a group with a centre  $Z$  of order 2 such that  $\tilde{G}/Z = G$ . (Note, incidentally, that  $Z$  contains the unique involution in  $\tilde{G}$ .)

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Each of these groups comes with a “natural” two-dimensional unitary representation  $\rho$ , which is in fact self-dual (implying that  $\rho \otimes \rho$  contains the trivial representation).

## McKay's observation

From the preceding, we see that there is a graph structure on the set of irreducible complex representations of  $\tilde{G}$ : an edge joins the representations  $\sigma_1$  and  $\sigma_2$  if  $\sigma_2$  is a constituent of the representation  $\sigma_1 \otimes \rho$ .

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We conclude that the graph is an extended ADE diagram. Indeed, the correspondence agrees with the one we just observed for the rotation groups.

## A story

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The moral of the ADE classification is that, if you solve a classification problem to which the answer turns out to be “two infinite families and three sporadic examples”, then chances are that your problem is connected with the ADE affair.

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I visited Jaap in Eindhoven; he explained to me that he had solved a technical problem that arose, and found one infinite family and three sporadic examples.

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I visited Jaap in Eindhoven; he explained to me that he had solved a technical problem that arose, and found one infinite family and three sporadic examples.

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## Another story

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On comparing their work, each of them had missed a different infinite family. So it was clearly an ADE problem, as I will now describe.

# Root systems

A **root system** is a very geometric object. It is a finite set  $R$  of non-zero vectors in Euclidean space  $\mathbb{R}^n$  with the properties

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- ▶  $\sigma_v(w) = w - 2(v \cdot w) / (v \cdot v)v$ , an integer linear combination of  $v$  and  $w$ . From this, it can be shown that  $R$  spans a lattice (a **root lattice**).

## Roots of constant length

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It can be shown that there is a basis consisting of roots with non-positive inner products. If  $G$  is the Gram matrix, then (after normalisation) we have  $G = 2I - A$ , where  $A$  is the adjacency matrix of a graph. Since  $G$  is positive definite,  $A$  has greatest eigenvalue less than 2.

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Thus, if the root system is indecomposable (that is, the graph is connected), it is an ADE diagram. Moreover, the graph determines the root system.

## From the icosahedron to $E_8$

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This uses the *Clifford algebra*, a deformation of the exterior algebra: we consider only the case of real vector spaces  $V$  with a positive definite inner product. The multiplication in the Clifford algebra is given by

$$xy = x \cdot y + x \wedge y,$$

where the inner and outer (exterior) product are the symmetric and antisymmetric parts of the Clifford algebra product. The dimension of the Clifford algebra is the same as that of the exterior algebra on  $V$ , namely  $2^n$ , where  $n = \dim(V)$ .

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There is much more to the story, but no time to tell it here ...

## The remaining root systems

For roots of different lengths, it is not hard to show:

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  - ▶ one root system has type  $D_n$  and the other is an orthonormal basis, giving types  $B_n$  or  $C_n$  (depending on which roots are longer); or

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  - ▶ the two root systems have type  $D_4$ , and together give type  $F_4$ .

# Lie algebras

To classify simple Lie algebras over  $\mathbb{C}$ , one develops their structure theory to the point where one finds an indecomposable root system in the dual space of the Cartan subalgebra.

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Then appeal to the classification of root systems, and show that the root system uniquely determines the algebra.

The dimension of the Lie algebra arising from the root system  $R$  is  $\dim(R) + |R|$  (the first term for the Cartan algebra, and the second for the root subspaces). For  $E_8$  we obtain  $8 + 240 = 248$ .

## Star-closed sets

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Let  $A$  be the adjacency matrix of such a graph. Then  $A + 2I$  is positive semidefinite, and so is the matrix of inner products of a set of vectors in  $\mathbb{R}^n$ , where  $n$  is the multiplicity of  $-2$  as an eigenvalue. Clearly any two of these vectors make an angle  $90^\circ$  or  $60^\circ$ .

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Now a geometric argument shows that a set of lines through the origin in  $\mathbb{R}^n$ , in which any two lines make angle  $90^\circ$  or  $60^\circ$ , and is maximal with respect to this property, is **star-closed**: that is, if two lines in the set make angle  $60^\circ$ , then the third line in their plane at  $60^\circ$  to both is also in the set.

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Taking vectors of fixed length on the lines in such a star-closed set, we obtain a root system!

## The classification

Thus, graphs with least eigenvalue  $-2$  are “contained” in a root system of type ADE.

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### Theorem

*A connected graph with smallest eigenvalue  $-2$  (or greater) is either a generalized line graph, or one of finitely many exceptions (all these exceptions being represented in the root system  $E_8$ ).*

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### Theorem

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The paper containing this theorem (with Goethals, Seidel, and Ernest Shult) is one of my most cited.

## Abelian unipotent groups

This theorem was used to classify the abelian subgroups generated by root subgroups in groups of Lie type.

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It follows from the commutation relations for such subgroups that, if two of them commute, then the corresponding roots make an angle at most  $90^\circ$ .

So subgroups with the required property are classified by the graphs with least eigenvalue  $-2$ , and the preceding theorem applies.

And more . . .

I have not told you the whole story. There are connections with algebras of finite representation type, with critical points of smooth functions, and with cluster algebras (which come up in the theories of Poisson algebras and totally positive matrices).

And more . . .

I have not told you the whole story. There are connections with algebras of finite representation type, with critical points of smooth functions, and with cluster algebras (which come up in the theories of Poisson algebras and totally positive matrices). Indeed, when Fomin and Zelevinsky invented cluster algebras, they didn't at first know that the finite dimensional ones fitted the ADE classification, but discovered this later.

## Cluster algebras: a taster

Consider the sequence given by the recurrence

$$x_{n+2} = \frac{1 + x_{n+1}}{x_n}.$$

It is easy to see that it returns to its initial value after five steps.

If the first two terms are 1, 1, then the sequence runs

1, 1, 2, 3, 2, 1, 1, ...

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1, 1, 2, 3, 2, 1, 1, ...

However the related recurrence

$$x_{n+3} = \frac{1 + x_{n+1}x_{n+2}}{x_n}$$

starting 1, 1, 1, runs 1, 1, 1, 2, 3, 7, 11, 26, 41, 97, 153, 362, 571, ..., and grows forever. This sequence has many interesting interpretations, including the denominators of the continued fraction convergents to  $\sqrt{3}$ .

Each of these examples is associated with a cluster algebra associated with a quiver (oriented graph). In the first case it is an orientation of  $A_2$  (a single edge); the second case is an oriented triangle, so not an ADE diagram. This agrees with the finiteness theorem of Fomin and Zelevinsky.

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But note that the period 5 in the first case seems to have nothing to do with the root system, or reflection group, or anything else, traditionally associated with  $A_2$ . There are groups here too, which are not the Coxeter groups of the appropriate types. For the second example, we get the group of rotations of the icosahedron ...

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