

The ADE affair

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University of Vienna
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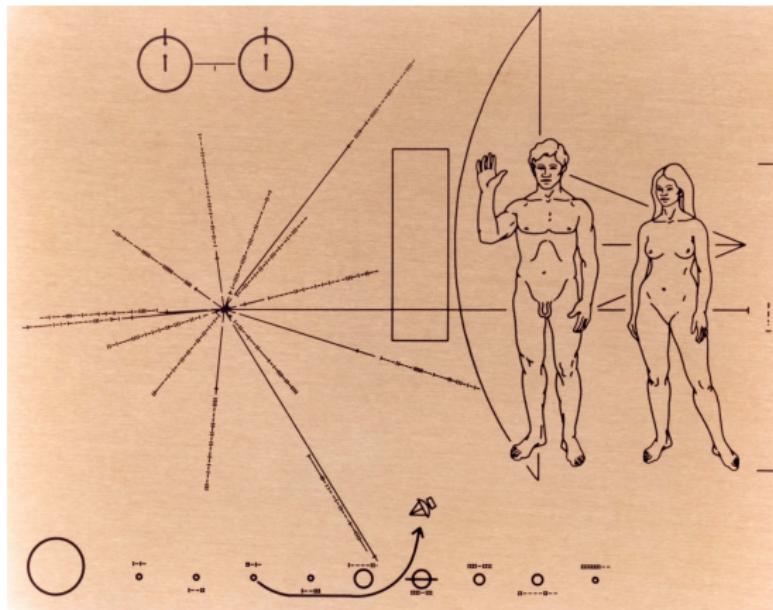
Calling card

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The Pioneer engineers decided that this would do:



Francis Buekenhout had another idea:

A_n (n nodes)

$$E_6 \quad \bullet - \bullet - \bullet - \bullet - \bullet - \bullet$$

$$E_7 \quad \bullet - \bullet - \bullet - \bullet - \bullet - \bullet$$

$$E_8 \quad \bullet - \bullet - \bullet - \bullet - \bullet - \bullet - \bullet$$

A modern Hilbert problem?

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- ▶ ... the Coxeter groups generated by reflections, or of Weyl groups with roots of equal length.

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Buekenhout's view was that, even if an alien civilisation had very different mathematics to ours, chances are that they would have come up with at least some of the areas in which the ADE diagrams occur.

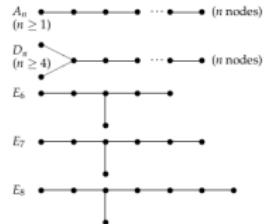
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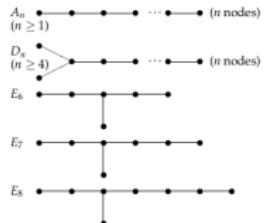
I will say a bit about all this.

The extended diagrams



Closely related to the ADE diagrams are the so-called **extended diagrams**. In each case the extension adds one vertex, as follows:

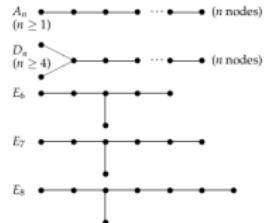
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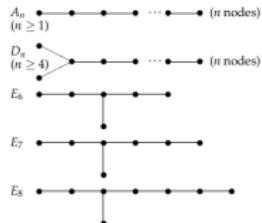
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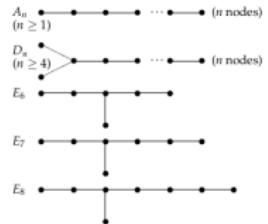
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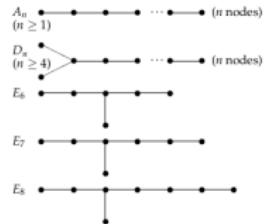
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- ▶ for D_n , it creates a fork at the other end of the diagram, or a $K_{1,4}$ if $n = 4$;
- ▶ for E_n , it extends one of the arms, so that the numbers of vertices on the arms are $(3, 3, 3)$ ($n = 6$), $(2, 4, 4)$ ($n = 7$), or $(2, 3, 6)$ ($n = 8$).

What are these diagrams?



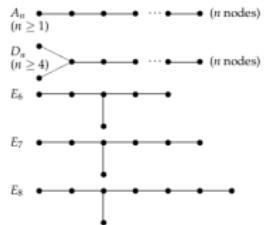
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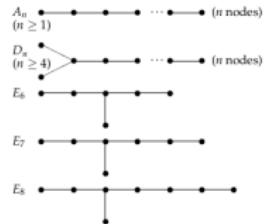


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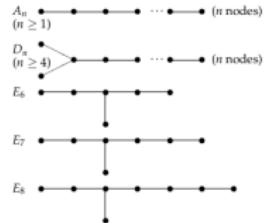


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Although this theorem was proved in 1969, it is in some sense implicit in the classification of simple Lie algebras over \mathbb{C} .

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It is easy to see that the extended ADE diagrams have greatest eigenvalue 2, as we will see shortly. So a connected graph whose greatest eigenvalue is less than 2 cannot contain any of these.

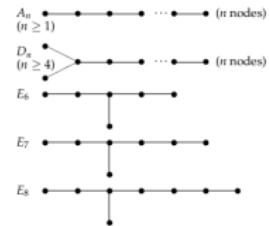
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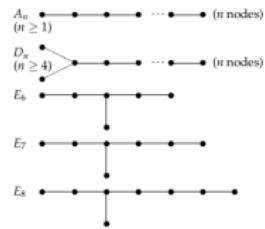
In particular, it does not contain \tilde{A}_n (a cycle), and so is a tree; it does not contain \tilde{D}_n , and so has at most one branchpoint, such a point having valency at most 3; and it does not contain \tilde{E}_n , and so the lengths of the three arms are restricted to the appropriate values.

Polyhedra and tessellations



The numbers of vertices on the arms of the E_n diagrams are $(2, 3, 3)$, $(2, 3, 4)$ and $(2, 3, 5)$ for $n = 6, 7, 8$ respectively. This should remind you of the regular polyhedra in 3-space.

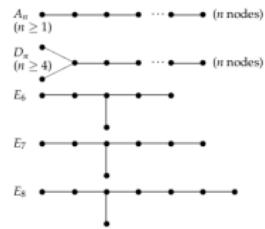
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The corresponding numbers for the extended diagrams are, as we saw, $(3, 3, 3)$, $(2, 4, 4)$ and $(2, 3, 6)$ respectively, corresponding to the regular tessellations of the Euclidean plane by triangles, squares and hexagons.

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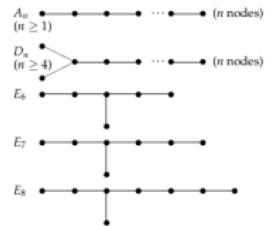


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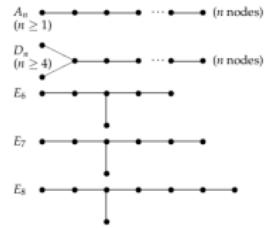
These are not accidental; we will return to them later.

A detour



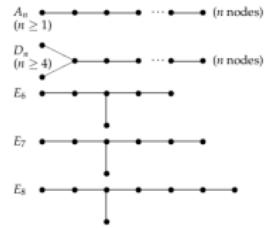
By the **Perron–Frobenius Theorem**, a connected graph has a unique eigenvector (up to scalar multiple) corresponding to the greatest eigenvalue; this eigenvector has all its entries positive.

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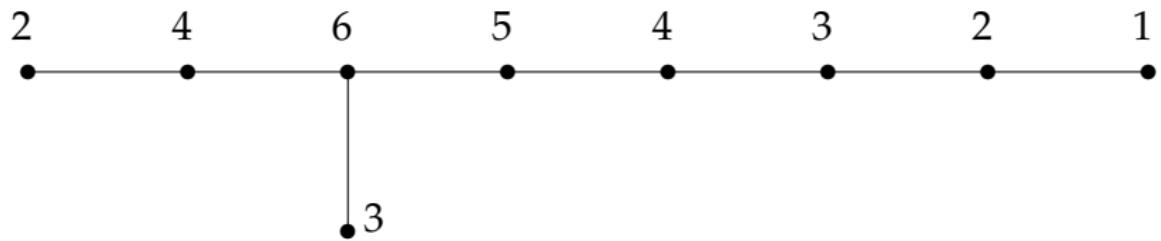
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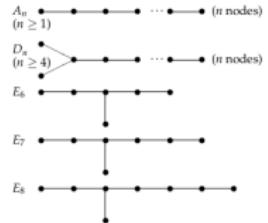


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An eigenvector

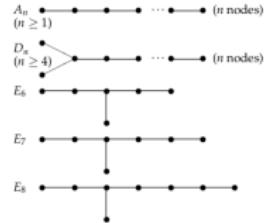


Finite rotation groups



As is well known, the list of finite groups of rotations in 3 dimensions (or finite subgroups of $SO_3(\mathbb{R})$) is as follows:

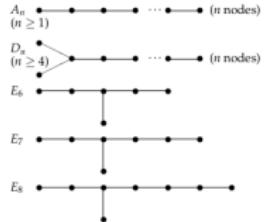
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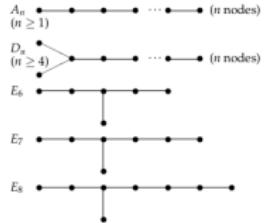
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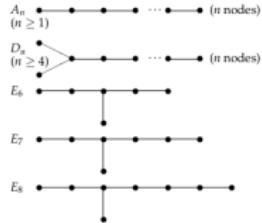
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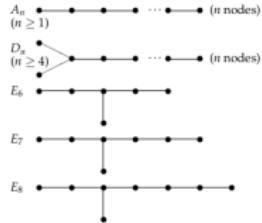
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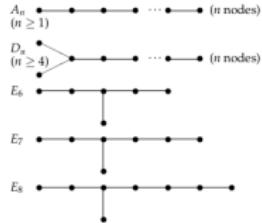
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The correspondence with the ADE diagrams is clear.

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The inverse image of each finite rotation group $G \leq SO_3(\mathbb{R})$ is a **double cover** \tilde{G} of G in $SU_2(\mathbb{C})$, a group with a centre Z of order 2 such that $\tilde{G}/Z = G$. (Note, incidentally, that Z contains the unique involution in \tilde{G} .)

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Each of these groups comes with a “natural” two-dimensional unitary representation ρ , which is in fact self-dual (implying that $\rho \otimes \rho$ contains the trivial representation).

McKay's observation

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On comparing their work, each of them had missed a different infinite family. So it was clearly an ADE problem, as I will now describe.

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- ▶ For all $v, w \in R$, $2(v \cdot w) / (v \cdot v) \in \{0, \pm 1, \pm 2, \pm 3\}$.
- ▶ For all $v \in R$, the reflection σ_v in the hyperplane perpendicular to v maps R to itself.

Note that

- ▶ $2(v \cdot w) / (v \cdot v) \times 2(v \cdot w) / (w \cdot w) \leq 4$ by Cauchy–Schwarz, so if there are roots of different lengths then the ratio of their squared lengths is 2 or 3.

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- ▶ $\sigma_v(w) = w - 2(v \cdot w) / (v \cdot v)v$, an integer linear combination of v and w . From this, it can be shown that R spans a lattice (a **root lattice**).

Roots of constant length

It is clear that the vectors of fixed length in a root system form themselves a root system; so it is enough to classify these, and then piece together at most two such for the general classification.

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It can be shown that there is a basis consisting of roots with non-positive inner products. If G is the Gram matrix, then (after normalisation) we have $G = 2I - A$, where A is the adjacency matrix of a graph. Since G is positive definite, A has greatest eigenvalue less than 2.

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Thus, if the root system is indecomposable (that is, the graph is connected), it is an ADE diagram. Moreover, the graph determines the root system.

From the icosahedron to E_8

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This uses the *Clifford algebra*, a deformation of the exterior algebra: we consider only the case of real vector spaces V with a positive definite inner product. The multiplication in the Clifford algebra is given by

$$xy = x \cdot y + x \wedge y,$$

where the inner and outer (exterior) product are the symmetric and antisymmetric parts of the Clifford algebra product. The dimension of the Clifford algebra is the same as that of the exterior algebra on V , namely 2^n , where $n = \dim(V)$.

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There is much more to the story, but no time to tell it here ...

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 - ▶ one root system has type D_n and the other is an orthonormal basis, giving types B_n or C_n (depending on which roots are longer); or
 - ▶ the two root systems have type D_4 , and together give type F_4 .

Lie algebras

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Then appeal to the classification of root systems, and show that the root system uniquely determines the algebra.

The dimension of the Lie algebra arising from the root system R is $\dim(R) + |R|$ (the first term for the Cartan algebra, and the second for the root subspaces). For E_8 we obtain $8 + 240 = 248$.

Star-closed sets

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Let A be the adjacency matrix of such a graph. Then $A + 2I$ is positive semidefinite, and so is the matrix of inner products of a set of vectors in \mathbb{R}^n , where n is the comultiplicity of -2 as an eigenvalue. Clearly any two of these vectors make an angle 90° or 60° .

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Now a geometric argument shows that a set of lines through the origin in \mathbb{R}^n , in which any two lines make angle 90° or 60° , and is maximal with respect to this property, is **star-closed**: that is, if two lines in the set make angle 60° , then the third line in their plane at 60° to both is also in the set.

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Taking vectors of fixed length on the lines in such a star-closed set, we obtain a root system!

The classification

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The paper containing this theorem (with Goethals, Seidel, and Ernest Shult) is one of my most cited.

Abelian unipotent groups

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So subgroups with the required property are classified by the graphs with least eigenvalue -2 , and the preceding theorem applies.

And more ...

I have not told you the whole story. There are connections with algebras of finite representation type, with critical points of smooth functions, and with cluster algebras (which come up in the theories of Poisson algebras and totally positive matrices).

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I have not told you the whole story. There are connections with algebras of finite representation type, with critical points of smooth functions, and with cluster algebras (which come up in the theories of Poisson algebras and totally positive matrices). Indeed, when Fomin and Zelevinsky invented cluster algebras, they didn't at first know that the finite dimensional ones fitted the ADE classification, but discovered this later.

Cluster algebras: a taster

Consider the sequence given by the recurrence

$$x_{n+2} = \frac{1 + x_{n+1}}{x_n}.$$

It is easy to see that it returns to its initial value after five steps.

If the first two terms are 1, 1, then the sequence runs

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1, 1, 2, 3, 2, 1, 1, ...

However the related recurrence

$$x_{n+3} = \frac{1 + x_{n+1}x_{n+2}}{x_n}$$

starting 1, 1, 1, runs 1, 1, 1, 2, 3, 7, 11, 26, 41, 97, 153, 362, 571, ...
and grows forever. This sequence has many interesting
interpretations, including the denominators of the continued
fraction convergents to $\sqrt{3}$.

Each of these examples is associated with a cluster algebra associated with a quiver (oriented graph). In the first case it is an orientation of A_2 (a single edge); the second case is an oriented triangle, so not an ADE diagram. This agrees with the finiteness theorem of Fomin and Zelevinsky.

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But note that the period 5 in the first case seems to have nothing to do with the root system, or reflection group, or anything else, traditionally associated with A_2 . There are groups here too, which are not the Coxeter groups of the appropriate types. For the second example, we get the group of rotations of the icosahedron ...

Conclusion

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